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Indium droplet formation in InGaN thin films with single and double heterojunctions prepared by MOCVD

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Abstract

Indium gallium nitride (InGaN) samples with single heterojunction (SH) and double heterojunction (DH) were prepared using metal-organic chemical vapor deposition. SH has a layer of InGaN thin film (thicknesses, 25, 50, 100, and 200 nm) grown on an uGaN film (thickness, 2 μm). The DH samples are distinguished by DH uGaN film (thickness, 120 nm) grown on the InGaN layer. Reciprocal space mapping measurements reveal that the DH samples are fully strained with different thicknesses, whereas the strain in the SH samples are significantly relaxed with the increasing thickness of the InGaN film. Scanning electron microscopy results show that the surface roughness of the sample increases when the sample is relaxed. High-resolution transmission electron microscopy images of the structure of indium droplets in the DH sample indicate that the thickness of the InGaN layer decreases with the density of indium droplets. The formation of these droplets is attributed to the insufficient kinetic energy of indium atom to react with the elements of group V, resulting to aggregation. The gallium atoms in the GaN thin film will not be uniformly replaced by indium atoms; the InGaN thin film has an uneven distribution of indium atoms and the quality of the epitaxial layer is degraded.

Keywords: Indium droplet; Heterojunction structure; InGaN; Metal-organic chemical vapor deposition

Background

(Al, Ga, In) N material systems have been extensively investigated because of their potential applications in light-emitting diodes (LEDs), laser diodes, and photodetectors [1-6]. Indium gallium nitride (InGaN) has high absorption, broad spectral coverage, and radiation hardness, and its alloys have emerged as new solar cell materials. InGaN is used for the conversion of sunlight in the visible range into electrical power. Thus, the growth of high-quality InGaN thin films with indium content higher than 20% has become important [7-11]. Given the large lattice mismatch (11%) between GaN and InN, phase separation occurs when the thickness of the InGaN film is larger than the critical value, which is <60 nm for indium content higher than 20% [12-16]. InGaN thin films are applied to solar energy materials

with an optimum thickness of about 100 nm [17]. Although thick InGaN film is beneficial for absorption of more sunlight, this absorption and conversion efficiency will substantially be reduced. The photoelectric conversion efficiency will be reduced, and the recombination rate of the photoexcited carrier will improve because of the film thickness [18]. The thickness of the InGaN film (100 nm) is greater than its critical value in terms of film growth with high indium content because of the mismatch of GaN and InGaN in the crystal lattice; the strain is accumulated until the film is fully strained. Subsequently, the film is fully relaxed and has many defects [19]. Studies on the latest applications of InGaN thin films in solar energy have revealed that InGaN is applied to solar energy materials to increase the indium content. However, high indium content generates several indium-rich clusters, which, in turn, generate several defects and degrade the film quality [20]. The strain induced by the heterostructure within the critical thickness causes the indium-doped InGaN thin film to increase the indium content by reducing the generated indium droplets. Exceeding the thickness of the InGaN thin film to its

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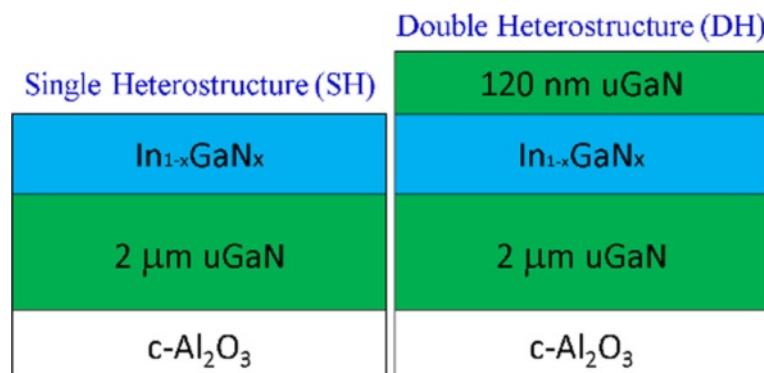


Figure 1 Structures of the SH and DH samples.

critical value increases the average indium content by relaxing the indium droplet structure. Although InGaN thin films have been extensively grown and widely studied, the composition and distribution of InGaN film, as well as the energy gap distribution, are yet to be elucidated. The structures of indium droplets applied in the overlying p-type GaN film grown at high temperature in p-i-n heterostructures also remain unclear [21-23]. The reduction in uniformity and smoothness of the entire indium-doped InGaN thin film and the presence of irregular luminescent bands are caused by the aggregation of indium atoms, which reduces the overall indium content of the thin film, and consequently the luminescent quality [24]. The other cause is the existence of defects around the indium droplets, which increases the density of the defects [25]. Thus, the growth of high-quality InGaN thin film remains a challenge. In this study, we demonstrated the growth of SH and DH samples on c -plane sapphire substrates by a two-step temperature-dependent growth of InGaN layers. X-ray diffractometry (XRD) and energy dispersive spectrometry (EDS) were used to calculate the indium contents. The crystallization quality and strain distribution of the samples were analyzed through XRD measurements. Temperature-dependent photoluminescence results showed the dependence of the exciting confinement on the indium contents and the width of the

barrier layer. The growth of SH and DH samples was observed based on the optimal barrier layer conditions. The SH and DH structures of the samples were verified by high-resolution transmission electron microscopy (HRTEM).

Methods

All samples were grown by metal-organic chemical vapor deposition (MOCVD). The InGaN/GaN SH samples were prepared as follows. First, a 2- μm undoped GaN layer was grown on (0001) c -plane sapphire substrate at 1,080°C. The InGaN layers were grown at a temperature of 700°C and thicknesses of 25, 50, 100, and 200 nm. DH samples were grown similar to the SH samples, but with an additional final GaN layer (thickness, 120 nm) grown at 900°C. Figure 1 shows the detailed structures of both samples. InGaN films with SH heterostructures (thickness, 25, 50, 100, and 200 nm) were named samples SH25, SH50, SH100, and SH200. The films with DH heterostructures (thickness, 25, 50, 100, and 200 nm) were named samples DH25, DH50, DH100, and DH200. The ω -2 θ XRD scans determined the material composition of the samples. XRD was performed to obtain the reciprocal space mapping (RSM) and understand the strain condition in the samples. Indium content distribution can be calibrated based on the strain information. Scanning

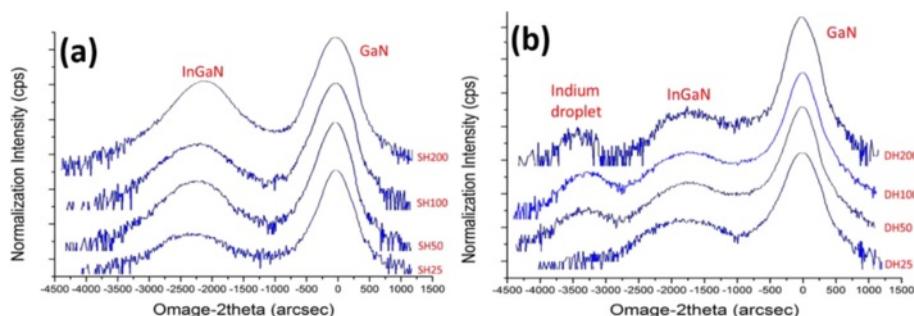


Figure 2 XRD ω -2 θ scanning results of the (a) SH and (b) DH samples.

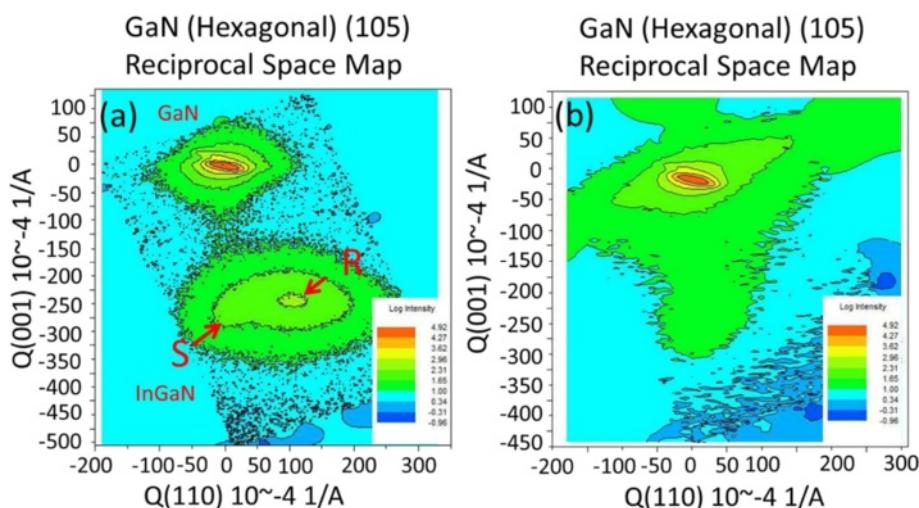


Figure 3 RSM of (a) SH and (b) DH samples with 200-nm InGaN layer grown at 700°C.

electron microscopy (SEM) measurements were conducted to compare the surface roughness of the SH and DH samples at different thicknesses. Energy dispersive X-ray spectrum (EDX) was obtained to yield the elemental distribution of the cross-section direction of the samples from transmission electron microscopy (TEM). Calibrated indium contents were confirmed by photoluminescence (PL) measurements through the excitation of the top and bottom portions of the substrate. The indium droplets

within the InGaN films of the DH samples were observed by TEM.

Results and discussion

Figure 2a,b respectively shows the ω - 2θ XRD measurements for the SH and DH samples. Two major peaks that correspond to GaN and InGaN films are observed (Figure 2a). Increasing the thickness of the InGaN film yields stronger XRD signal; the phase separation signal

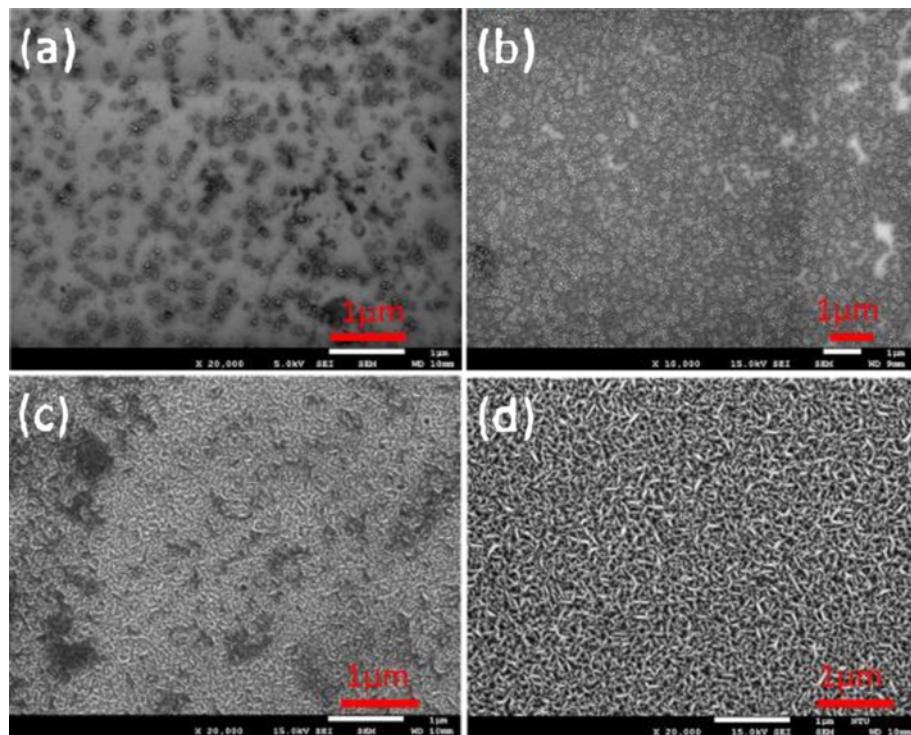


Figure 4 SEM images (top view) of the SH samples. (a) SH25. (b) SH50. (c) SH100. (d) SH200.

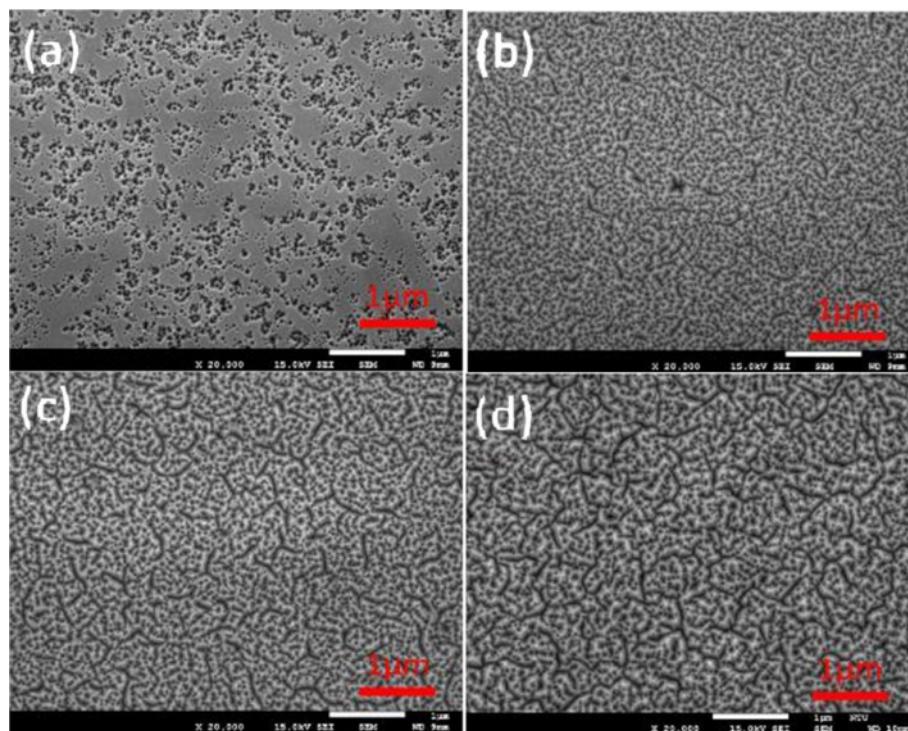


Figure 5 SEM images (top view) of the DH samples. (a) DH25. (b) DH50. (c) DH100. (d) DH200.

does not exist for this film. Figure 2b reveals a new peak, as well as those for the GaN and InGaN films. The new peak is induced by the indium droplets generated from the phase separation of the InGaN layer. Hartono et al. [26] attempted to grow InN directly on GaN, which resulted in the formation of indium droplets. They showed that the XRD measurements and the peak for indium droplets were consistent with our measurements. Formation of indium droplets in the InGaN layer of the DH sample was observed. The newly generated peak signal corresponds to the signal of these droplets (Figure 2b).

Figure 3 shows the RSM measurements of samples SH200 and DH200. The strain situation of these samples is discussed because of the marked RSM measurements for the sample with film thickness of 200 nm. Figure 3a indicates the existence of two different regions. The upper region corresponds to the space interfered by GaN on face (105), which is turned upside down; this region also presents the measurements of the fully strained GaN. The lower region represents the space interfered by InGaN; the distribution and the change in the strain inside the materials are simpler than that of DH200

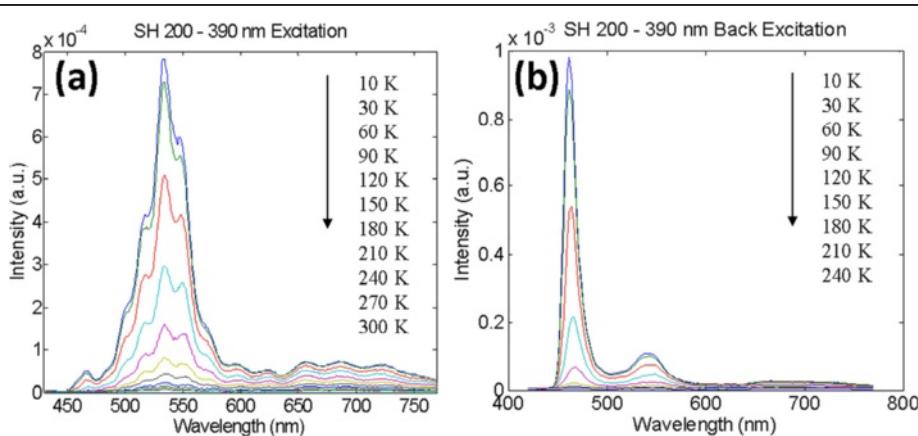


Figure 6 PL spectra of sample SH200 with excitation from the (a) top and (b) bottom portions.

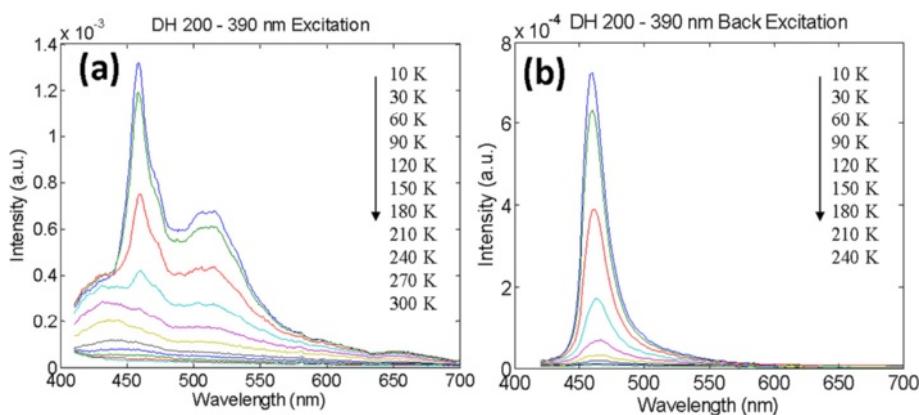


Figure 7 PL spectra of sample DH200 with excitation from the (a) top and (b) bottom portions.

because of the SH200 with SH heterostructure. In Figure 3a, in the part marked with InGaN, point S is fully strained, whereas point R is fully relaxed. Thus, the range from points S to R represents the growth of InGaN from fully strained to fully relaxed. Sample SH200 has exceeding critical thickness, with calculated

concentration of 19% at point S and 27% at point R [27-29]. The growth changes from fully strained to fully relaxed because of the absence of GaN layer grown on the upper SH200 layer. Strain relaxation is caused by lattice mismatch between GaN and InGaN [30]. Figure 3b reveals that the positions of the upper portion of the

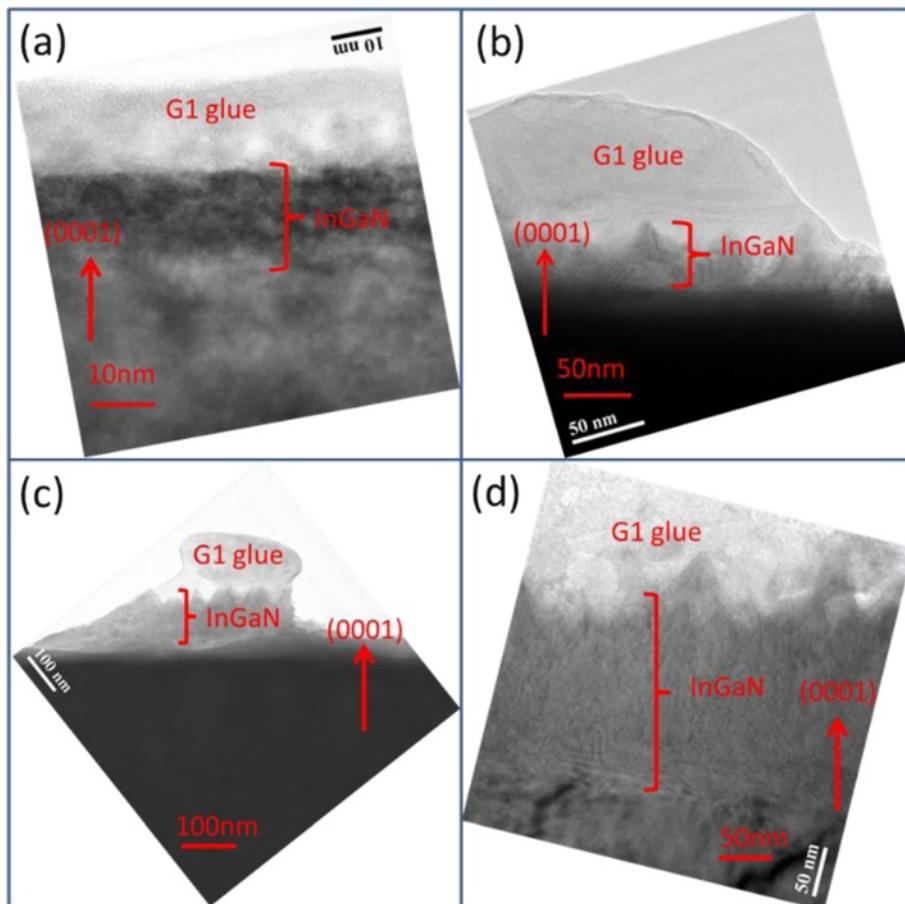


Figure 8 TEM images of samples (a) SH25, (b) SH50, (c) SH100, and (d) SH200.

GAN layer and the lower portion of the InGaN layer are similar and fully strained without any fully relaxed peak $Q(x)$ as that of SH200. This result attributed to DH200 having a DH heterostructure; one more layer of GaN is grown over InGaN, which inhibits the InGaN layer to be in the fully relaxed state. Both layers are fully strained, and the InGaN layer is not relaxed based on the RSM diagram. These results yield better material characteristics for DH200. Most of the studies have pointed out the contribution of the strain relaxation from critical thickness of InGaN growth [28,31,32]. One of possible ways about the strain relaxation may come from higher temperature (900°C) GaN capping layer growth. Reed et al. demonstrated that the high-temperature (880°C) GaN cap results strain relaxation in the InGaN [33]. Choi et al. also reported that more indium diffusion in the InGaN interlayer after GaN capping layer is grown at high temperatures [34]. But we lack the confidence in this point since the current contents of this article do not have sufficient evidence to proof the contribution of higher temperature GaN capping layer growth.

Figure 4a,b,c,d illustrates the SEM measurements for samples SH25, SH50, SH100, and SH200, respectively. The surface roughness of the SH heterostructure increases with film thickness because the crystal lattice of the GaN layer does not match with that of the InGaN thin film. The number of defects increases with the thickness of the InGaN thin film, thereby forming cracks. The existence of too many defects for restraint produces cracks on the surface and causes rose-like surface roughness [35]. The results show that the surface roughness of SH200 is the largest because of the most fully relaxed surface of this sample. Full relaxation produces the rose-like surface structure of SH200 [36,37], consistent with the RSM measurements.

Figure 5a,b,c,d illustrates the SEM measurements for samples DH25, DH50, DH100, and DH200, respectively. The number of surfaces of the DH heterostructure increases with film thickness, producing a more coarse surface, with DH200 having the largest surface roughness. The surface roughness of samples DH25 and DH50 with film thicknesses within the critical value after

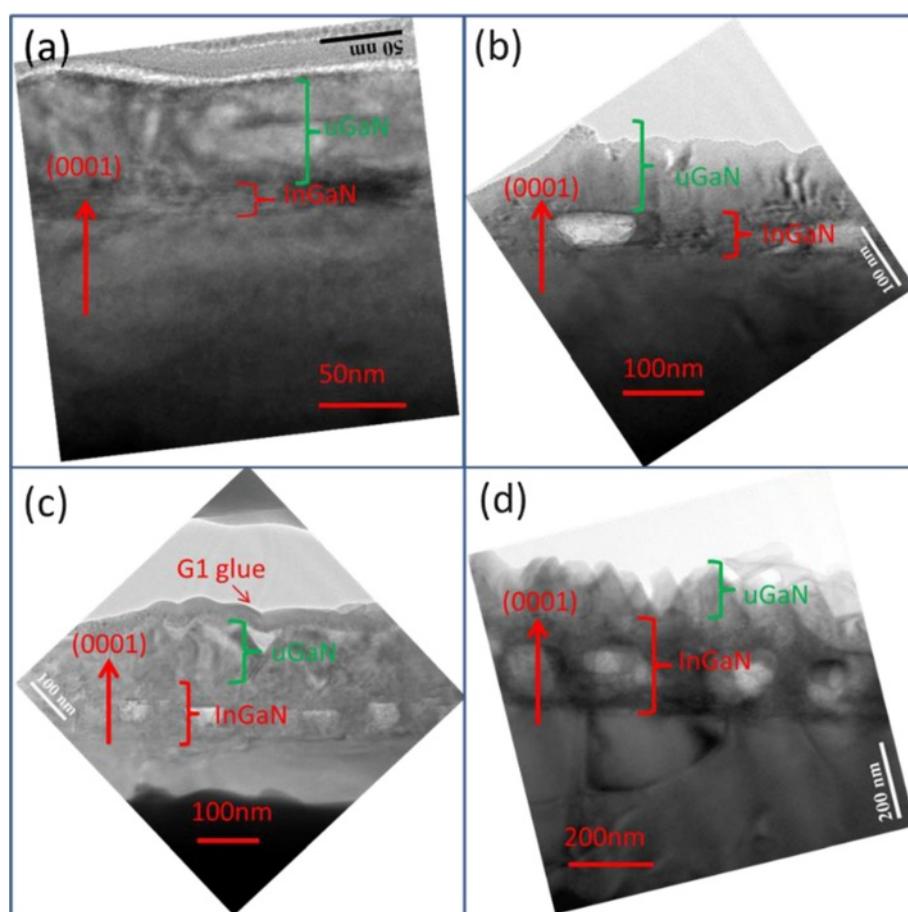


Figure 9 HRTEM images of samples (a) DH25, (b) DH50, (c) DH100, and (d) DH200.

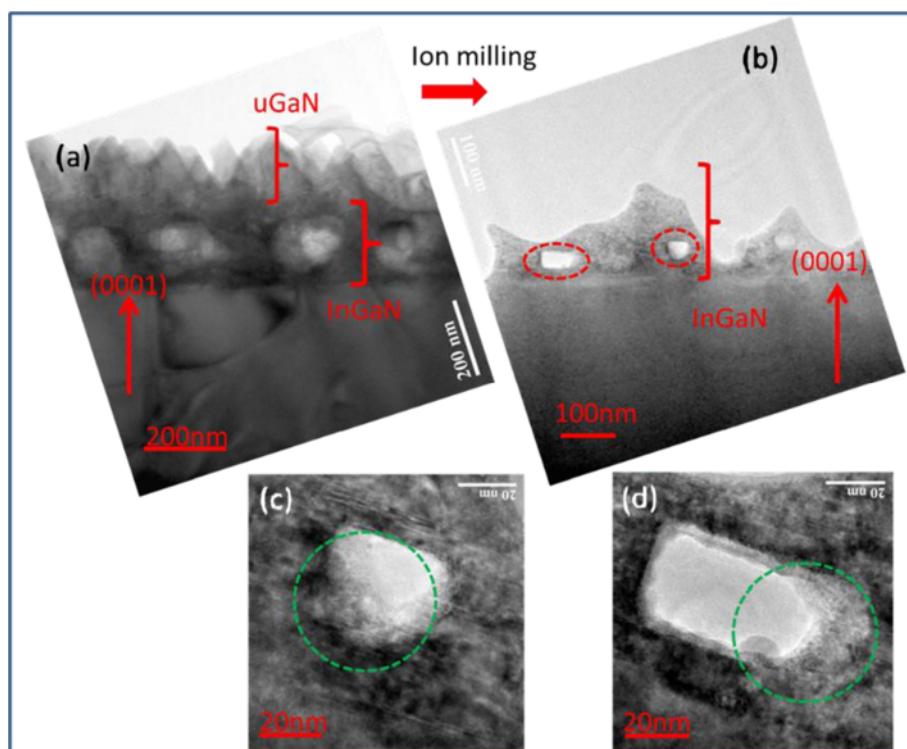


Figure 10 Cross-section TEM images of DH200. Before (a) and after ion milling (red rings as indium droplet) (b), and the first (c) and second (d) holes (green rings) in (b).

growth is significantly lower than that of sample DH200. This result is attributed to the thickness of InGaN within the critical value, as well as the material that remains fully strained. Hence, the surface flatness of this material is superior to the other samples.

Given the application of the growth of InGaN thin films to solar energy materials [38,39], this study focused on the optical properties of SH200 and DH200. Figure 6a,b

illustrates the temperature-dependent PL measurements of SH200 given by the spectrograms of the front-side and back-side incidences, with the respective temperature ranges of 10 to 300 K and 10 to 240 K. The position of the measured front-side incidence is in front of the InGaN; the light-emitting band is about 530 nm (green light). By contrast, the position of the measure back-side incidence is at the back of InGaN; the light-emitting band is about 460 nm

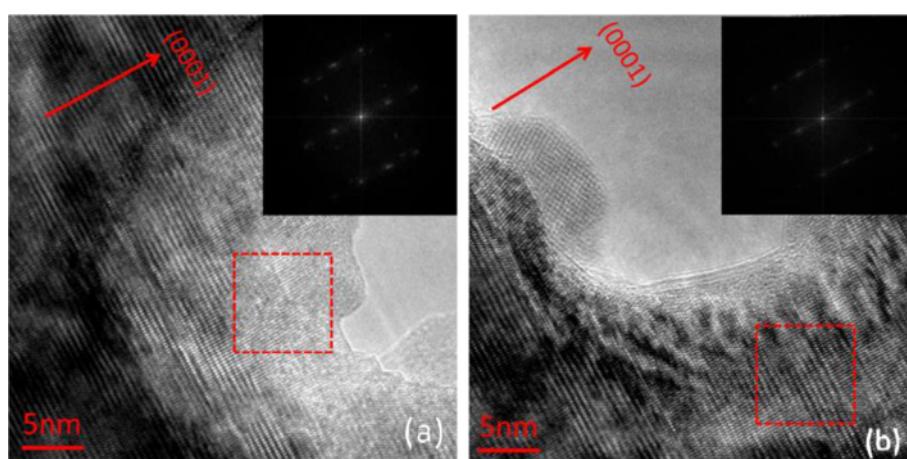


Figure 11 Cross-section HRTEM images of DH200 from the (a) first and (b) second indium droplet holes. The two dotted line squares are SAD areas that correspond to the FFT images.

(blue light) with smaller signal at 530 nm. The positions are caused by the fully relaxed strain at the front of the InGaN thin film of SH200 and produces phase separation [40–42]. High indium content exists at the front of InGaN [34,43].

Figure 7a,b shows the temperature-dependent PL measurements of DH200 given by the spectrograms of the front-side and back-side incidences; the respective temperature ranges are 10 to 300 K and 10 to 240 K. The spectrograms for the sample DH200 reveal that the light-emitting bands in the front-side and back-side incidences are concentrated at 460 nm. The results suggest that GaN layer exists at both sides of InGaN. The upper and lower parts of the InGaN layer are fully strained and have low indium contents. A light-emitting band at 510 nm exists at the front-side PL measurements [44–46] and emitted from the middle part of sample DH200. A fully relaxed intermediate layer is formed by the aggregation of indium droplets with relatively high indium contents, attributed to the changes in the DH200 strain. The fully strained condition was only included in the RSM measurements of sample DH200 (thickness, 200 nm). The RSM measurements of sample SH200 reveal two different indium concentrations. The PL measurements of the sample also show two different peaks at 460 and 530 nm, wherein the intensity at 460 nm is smaller. The aggregation of indium droplets occurs in DH200, which explains the visibility of light-emitting band at 510 nm in the PL measurements for the front-side incidence of DH200. The PL measurements of DH200 are consistent with the indium content based on the RSM measurements.

Figure 8a,b,c,d illustrates the HRTEM images of samples SH25, SH50, SH100, and SH200, with cross-section directions. The red arrows in these images indicate the (0001) direction. The contrast in the HRTEM images is caused by the differences in atomic mass between indium-49 and gallium-31 [47]. The heavier atom of the material causes the darker part of one HRTEM image. The InGaN thin film surface is considerably flat and even (top panel, Figure 8a). Figure 8b,c,d implies that the difference between the peak-valley of the image contrast is larger than the other place below the G1 glue region. The range between the peak and the valley is the largest; hence, the roughness depth of SH200 and the thickness of the InGaN thin film are clearly observed (Figure 8d). This finding is consistent with the previous SEM measurements. Figure 9a,b,c,d shows the HRTEM images of samples DH25, DH50, DH100, and DH200, with cross-section directions. The green brackets represent the uGaN layer, whereas the red brackets represent the InGaN thin film. No holes were found in Figure 9a. The number of holes (Figure 9b,c,d) and the hole diameter increases with InGaN film thickness. Figure 9 reveals the existence of three more samples with holes aside from DH25 because of the indium droplets arising from the aggregation of indium [48–52].

We analyzed the formation mechanism of the indium droplets. Figure 10a,b,c,d shows the cross-section TEM images of DH200 and indium droplets (red and green rings), with (a) large scale images, (b) ion milling, (c) first indium droplet hole, and (d) second indium droplet hole, respectively. The holes are gathered in the middle

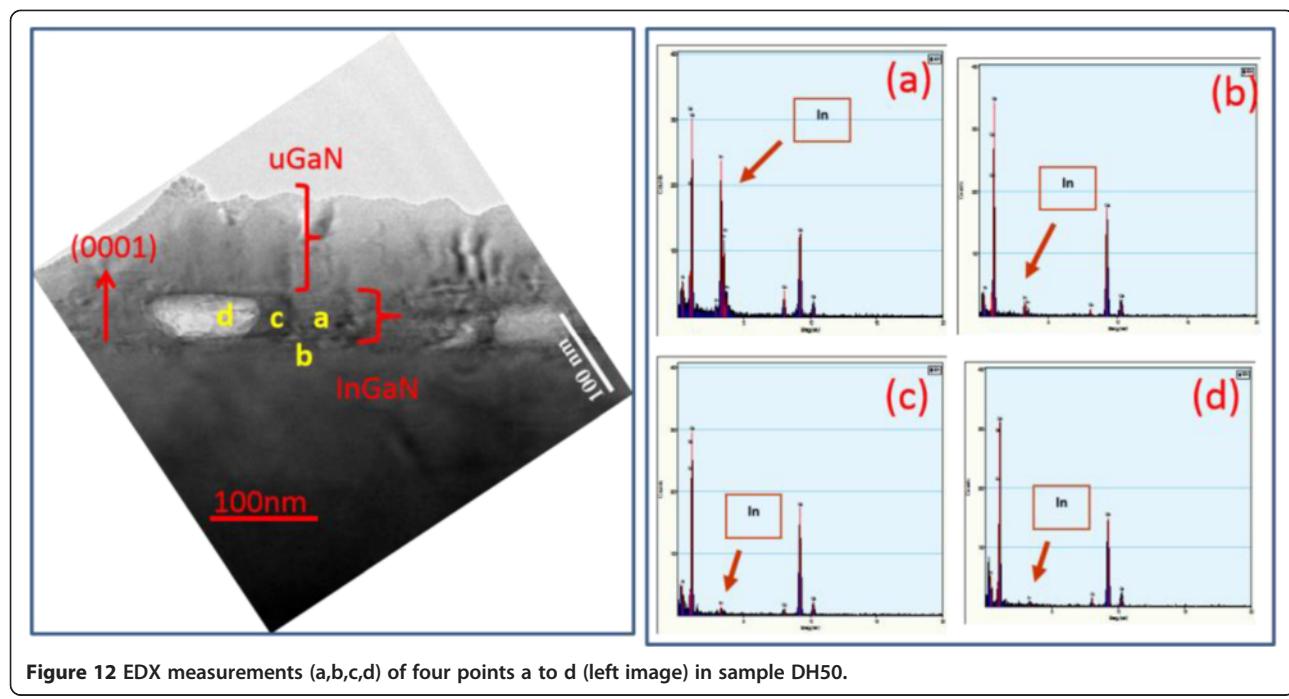


Table 1 EDX data of four points (labeled a to d) in sample DH50

Element	Point a (%)	Point b (%)	Point c (%)	Point d (%)
N (K)	44.64	44.46	59.80	56.41
Ga (K)	26.41	51.10	38.78	43.29
In (L)	28.93	4.42	1.41	0.29

of the samples. A few holes are located near the front and back parts of GaN; some thin films have holes. HRTEM was performed to determine the causes of the formation of indium droplets. Figure 11 shows the high-resolution images of the holes in sample DH200 through TEM with electron beam energy and voltage of 300 KeV. Figure 11a,b shows the cross-section HRTEM images of indium droplets (two green rings in Figure 10c,d) with first and second indium droplet holes. The insets are the diffraction patterns based on fast Fourier transform (FFT). Results reveal the existence of atomic lattice images of indium droplets around the hole [53-55]. The lattice pattern of the selected area of diffraction (SAD) shows that the direction of this pattern in the hole is different from that of the area without holes. From the formation of indium droplet in the hole, the crystal lattice in this area is the overlapping diffraction lattice pattern of InGaN and In atom aggregations (inset of Figure 11a). The diffraction lattice pattern around the outer circumference of the hole corresponds to InGaN/GaN (inset of

Figure 11b), with significant differences [56]. Reducing the thickness of the InGaN film decreases the number of holes, consistent with the XRD measurements. No indium droplet peak is found in the ω - 2θ curve of DH25.

The indium droplet images without holes were analyzed by EDX. Figure 12(a) illustrates the cross-section HRTEM images of DH50; Figure 12b,c,d,e shows the four-point EDX profiles. Table 1 tabulates the results from the EDX measurements. The measuring positions are the area not thinned out in the hole of the InGaN thin film (point a), in the InGaN thin film (point b), at the back and close to the InGaN thin film (point c), and in the hole of the indium droplet (point d). The respective measured indium contents are 28 at point a (93%), 4 at point b (42%), 1 at point c (41%), and 0 at point d (29%). Almost no indium is found at points c and d because the ion mill was used to thin the sample until a thickness of 100 nm is achieved while preparing the sample. The structure of the indium droplet is more fragile than that of the InGaN thin film; the sample quickly thins out with the ion mill and renders voids [57]. Hence, the presence of voids in the InGaN film corresponds to that of the indium droplet [58-60].

Figure 13 shows the indium contents at different points (bottom-up) of the InGaN layer for samples SH200 and DH200; the contents were obtained from the EDX line scanning results. The red arrow in Figure 13 indicates the (0001) direction from the substrate. Figure 13a,c shows the EDX

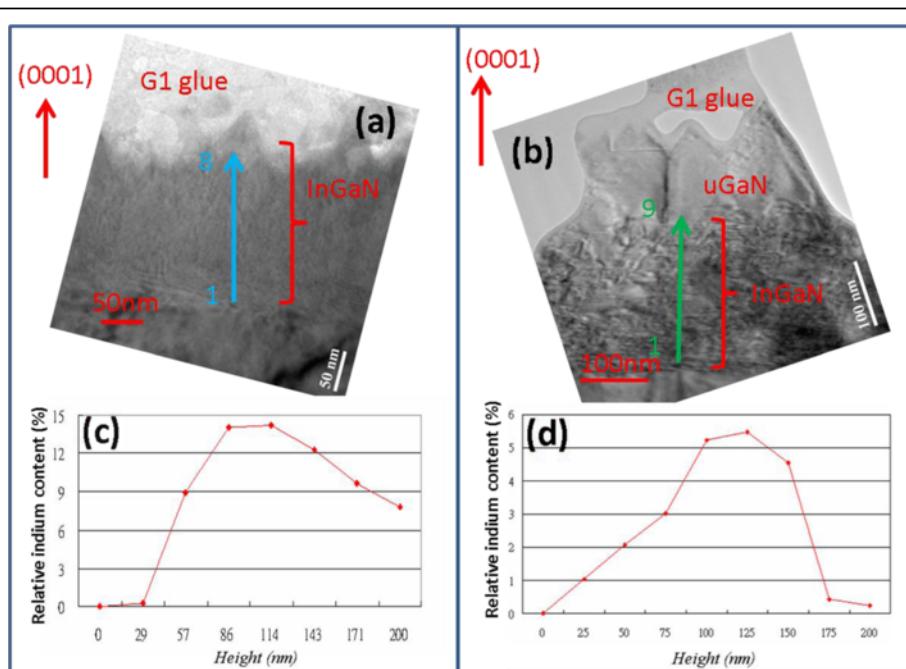


Figure 13 TEM images. TEM images of (a) SH200 and (b) DH200; EDX results of (c) SH200 from the blue line in (a) and (d) DH200 from the green line in (b).

line scans and the indium content profile of SH200; Figure 13b,d represents sample DH200. Values along the direction of the blue arrow were measured at eight EDX points (Figure 13a). The values in the middle are high, whereas those on both sides are small (Figure 13c). EDX measurements reveal that the proximity to the upper part increases the indium content for SH200. Figure 13c, however, reveals that the proximity to the upper part of SH200 reduces the indium content. Terminating the growth of SH200 leaves the MOCVD machine in the hydrogen atmosphere at a high temperature for several minutes, which considerably affects the InGaN layer surface of SH200. A transient high-temperature environment causes thermal annealing that decreases the indium content close to the InGaN layer surface [61,62]. The indium content profile of the InGaN layer of sample DH200 is obtained from the EDX measurements (9-point, bottom-up, marked with green arrow; Figure 13d). This content is high at the middle and low on both sides, consistent with our XRD and PL measurements of DH200. The EDX line scanning results show that the indium contents in the upper and lower parts of the InGaN layer of DH200 are lower, whereas those in the intermediate layer is very high. The intermediate layer indicates the existence of indium droplets [63–65]. High-concentration indium exists at the intermediate layer as indium droplets, instead at the InGaN layer as a uniform thin film.

Conclusions

We analyzed the nanostructures of the samples with SHs and DHs through HRTEM and SEM. HRTEM measurements reveal that the thickness of the InGaN film decreases with the density of the indium droplets. The surface roughness of the samples increases upon relaxation in these materials based on the SEM measurements. RSM and PL measurements indicate the variations of strain and indium composition of the InGaN layer in the samples. The distribution of indium content in the front and back sides of the InGaN layer was obtained from DH200. The change in the strain at both sides of the InGaN and the intermediate layers for the same sample was discussed. The SEM measurements indicated that increasing the thickness of the sample affects the strain relaxation of the sample. Differences exist between the strain relaxations of the SH and DH samples in the InGaN thin film. The indium droplets reduced the quality of the film by phase separation and poor electrical performance. EDX experimental results for samples SH200 and DH200 revealed that the thickness of the InGaN layer decreased with the density of the indium droplets. Thus, the thickness of the InGaN layer should be increased to avoid the formation of indium droplets. Unfortunately, a thin layer is insufficient for proper absorption in solar cells.

Competing interests

The authors declare that they have no competing interests.

Authors' contributions

YSC and CHL performed the experiments and fabricated the samples. HCW coordinated the project. YSC performed the XRD, RSM, and TEM measurements. CHL performed the SEM, temperature-dependent PL, and EDX measurements. CTK, RCCT, and HCW drafted the paper. All the authors read and agree the final version of the paper.

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